

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

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**HUNTINGTON FINANCIAL ADVISORS
37 W BROAD ST, 10TH FL
HP1000
COLUMBUS, OH 43215**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	86.91%
Limit orders as percentage of total limit orders	8.71%
Other orders as percentage of total other orders	4.38%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	46.56%
2. G1 Execution Services, LLC	17.88%
3. VIRTU Americas LLC	17.08%
4. Two Sigma Securities, LLC	6.13%
5. National Financial Services LLC	4.58%
6. New York Stock Exchange	3.55%
7. NASDAQ Execution Services, LLC	1.95%
8. UBS Securities, LLC	1.03%
9. Cboe EDGX U.S. Equities Exchange	0.77%
10. Huntington Investment Company	0.34%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	49.69%
Limit orders as percentage of total limit orders	17.76%
Other orders as percentage of total other orders	41.83%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.91%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	2.61%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.18%
Limit orders as percentage of total limit orders	5.59%
Other orders as percentage of total other orders	37.91%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.73%
Limit orders as percentage of total limit orders	1.64%
Other orders as percentage of total other orders	3.27%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.11%
Limit orders as percentage of total limit orders	1.64%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	39.80%
Other orders as percentage of total other orders	1.96%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.41%
Other orders as percentage of total other orders	5.88%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.99%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.92%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.55%
Other orders as percentage of total other orders	0.65%

10. Huntington Investment Company

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.40%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.81%
Limit orders as percentage of total limit orders	5.79%
Other orders as percentage of total other orders	3.40%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	52.96%
2. G1 Execution Services, LLC	17.13%
3. VIRTU Americas LLC	16.68%
4. Two Sigma Securities, LLC	5.83%
5. National Financial Services LLC	2.67%
6. NASDAQ Execution Services, LLC	2.67%
7. UBS Securities, LLC	1.34%
8. Cboe EDGX U.S. Equities Exchange	0.53%
9. Huntington Investment Company	0.12%
10. Credit Suisse Securities (USA) LLC	0.04%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	53.95%
Limit orders as percentage of total limit orders	26.57%
Other orders as percentage of total other orders	71.43%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.46%
Limit orders as percentage of total limit orders	5.59%
Other orders as percentage of total other orders	1.19%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.16%
Limit orders as percentage of total limit orders	9.09%
Other orders as percentage of total other orders	16.67%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.29%
Limit orders as percentage of total limit orders	2.10%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.81%
Limit orders as percentage of total limit orders	1.40%
Other orders as percentage of total other orders	1.19%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	46.15%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	7.14%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.39%
Other orders as percentage of total other orders	1.19%

9. Huntington Investment Company

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	1.19%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.06%
Limit orders as percentage of total limit orders	1.14%
Other orders as percentage of total other orders	0.79%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.98%
2. G1 Execution Services, LLC	18.38%
3. VIRTU Americas LLC	17.68%
4. Two Sigma Securities, LLC	6.98%
5. UBS Securities, LLC	1.11%
6. National Financial Services LLC	0.32%
7. Cboe EDGX U.S. Equities Exchange	0.25%
8. NASDAQ Execution Services, LLC	0.10%
9. NYSE Arca	0.06%
10. NYSE American	0.03%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.16%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	64.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.68%
Limit orders as percentage of total limit orders	5.56%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.68%
Limit orders as percentage of total limit orders	13.89%
Other orders as percentage of total other orders	24.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.99%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	12.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.10%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.32%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.22%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.33%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.56%
Other orders as percentage of total other orders	0.00%

10. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	60.53%
Limit orders as percentage of total limit orders	39.47%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	39.47%
2. NASDAQ PHLX Options	21.05%
3. NYSE Arca Options	15.79%
4. BOX Option Exchange	7.89%
5. Cboe C2 Options Exchange	5.26%
6. NASDAQ Options Market	5.26%
7. ISE Gemini Options Exchange	2.63%
8. The MIAX Options Exchange	2.63%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	47.83%
Limit orders as percentage of total limit orders	26.67%
Other orders as percentage of total other orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.78%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

3. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.35%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	0.00%

4. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.35%
Limit orders as percentage of total limit orders	13.33%
Other orders as percentage of total other orders	0.00%

5. Cboe C2 Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.35%
Limit orders as percentage of total limit orders	6.67%
Other orders as percentage of total other orders	0.00%

6. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.33%
Other orders as percentage of total other orders	0.00%

7. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.67%
Other orders as percentage of total other orders	0.00%

8. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.35%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%