

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

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SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.87%
Limit orders as percentage of total limit orders	5.57%
Other orders as percentage of total other orders	2.56%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.26%
2. VIRTU Americas LLC	16.90%
3. G1 Execution Services, LLC	15.26%
4. Two Sigma Securities, LLC	5.37%
5. National Financial Services LLC	3.90%
6. UBS Securities, LLC	2.17%
7. New York Stock Exchange	1.64%
8. NASDAQ Execution Services, LLC	0.75%
9. Cboe EDGX U.S. Equities Exchange	0.47%
10. Cboe BZX U.S. Equities Exchange	0.14%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.03%
Limit orders as percentage of total limit orders	28.00%
Other orders as percentage of total other orders	44.57%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.91%
Limit orders as percentage of total limit orders	8.00%
Other orders as percentage of total other orders	35.87%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.18%
Limit orders as percentage of total limit orders	6.00%
Other orders as percentage of total other orders	2.17%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.64%
Limit orders as percentage of total limit orders	1.50%
Other orders as percentage of total other orders	4.35%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.15%
Limit orders as percentage of total limit orders	1.00%
Other orders as percentage of total other orders	1.09%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.06%
Limit orders as percentage of total limit orders	1.00%
Other orders as percentage of total other orders	8.70%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	29.50%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.00%
Other orders as percentage of total other orders	1.09%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.50%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.50%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.13%
Limit orders as percentage of total limit orders	3.98%
Other orders as percentage of total other orders	1.89%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.20%
2. G1 Execution Services, LLC	17.41%
3. VIRTU Americas LLC	16.28%
4. Two Sigma Securities, LLC	4.50%
5. National Financial Services LLC	2.65%
6. UBS Securities, LLC	2.21%
7. NASDAQ Execution Services, LLC	1.89%
8. Cboe EDGX U.S. Equities Exchange	0.76%
9. State Street Global Markets, LLC	0.04%
10. Huntington Investment Company	0.04%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.75%
Limit orders as percentage of total limit orders	18.18%
Other orders as percentage of total other orders	53.19%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.60%
Limit orders as percentage of total limit orders	5.05%
Other orders as percentage of total other orders	34.04%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.83%
Limit orders as percentage of total limit orders	8.08%
Other orders as percentage of total other orders	6.38%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.78%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.14%
Limit orders as percentage of total limit orders	2.02%
Other orders as percentage of total other orders	6.38%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	47.47%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.19%
Other orders as percentage of total other orders	0.00%

9. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Huntington Investment Company

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	97.99%
Limit orders as percentage of total limit orders	1.17%
Other orders as percentage of total other orders	0.83%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	57.20%
2. G1 Execution Services, LLC	16.87%
3. VIRTU Americas LLC	16.56%
4. Two Sigma Securities, LLC	6.27%
5. UBS Securities, LLC	1.64%
6. National Financial Services LLC	0.62%
7. NYSE Arca	0.46%
8. Cboe EDGX U.S. Equities Exchange	0.12%
9. NASDAQ Execution Services, LLC	0.12%
10. Credit Suisse Securities (USA) LLC	0.06%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	57.68%
Limit orders as percentage of total limit orders	34.21%
Other orders as percentage of total other orders	33.33%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.12%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	3.70%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.59%
Limit orders as percentage of total limit orders	2.63%
Other orders as percentage of total other orders	33.33%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.34%
Limit orders as percentage of total limit orders	2.63%
Other orders as percentage of total other orders	3.70%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.64%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.70%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.63%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	39.47%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	7.41%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	7.41%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	31.43%
Limit orders as percentage of total limit orders	54.29%
Other orders as percentage of total other orders	14.29%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	34.29%
2. NASDAQ PHLX Options	31.43%
3. NYSE American Options	8.57%
4. Cboe BZX Options Exchange, Inc.	8.57%
5. NYSE Arca Options	5.71%
6. NASDAQ Options Market	5.71%
7. ISE Gemini Options Exchange	2.86%
8. The MIAX Options Exchange	2.86%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	63.64%
Limit orders as percentage of total limit orders	21.05%
Other orders as percentage of total other orders	20.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.09%
Limit orders as percentage of total limit orders	31.58%
Other orders as percentage of total other orders	80.00%

3. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.79%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

6. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

7. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%

8. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%