

FULL-SERVICE BROKERAGE FEE AND COMMISSION SCHEDULE

STOCKS and EXCHANGE TRADED FUNDS (ETFs)*	PRINCIPAL AMOUNT	COMMISSION
	First \$25,000.99	Principal X 0.95 % + \$25
	\$25,001 – \$50,000.99	Principal X 0.75 % + \$25
	\$50,001 – \$100,000.99	Principal X 0.65 % + \$25
	\$100,001 – \$500,000.99	Principal X 0.55 % + \$25
	Above \$500,001	Principal X 0.45 % + \$25
	An overriding minimum of \$.05 per share will apply to all stock transactions.	

MUTUAL FUNDS	No-Load	\$50.00 (transaction fee for all no-load transactions)
	Load	Applicable load as stated in the prospectus

LISTED OPTIONS*	Contracts up to \$0.99	\$35.00 + \$3.00 per contract
	Contracts \$1.00 and above	\$35.00 + \$7.00 per contract

BONDS	Huntington Financial Advisors may act as principal, riskless principal, or agent. When acting as principal or riskless principal, bonds will be subject to a markup or markdown included in the quoted price.	
	When acting as agent	\$48.50 + \$3.50 per bond

WEALTHSCAPE INVESTOR (Online Trading)	STOCKS and ETFs		\$19.99 (per transaction)
	MUTUAL FUNDS	No-Load	\$50.00 (transaction fee for all no-load transactions)
		Load	Applicable load as stated in the prospectus
	LISTED OPTIONS	Contracts up to \$0.99	\$20.00 + \$1.50 per contract
		Contracts \$1.00 and above	\$20.00 + \$3.00 per contract

*Options transactions and sales of equities and ETFs are subject to a regulatory transaction fee, which appears on trade confirmation as the "Activity Assessment Fee" when applicable.

MARGIN INTEREST RATES	Borrowed Amount	Rate (NFBLR = National Financial Base Lending Rate)
	< \$24,999	NFBLR + 2.50%
	\$25,000 to \$99,999	NFBLR + 2.00%
	> \$100,000	NFBLR + 1.50%

ACCOUNT FEES <i>Listed fees are applicable to advisory accounts unless otherwise indicated with +</i>	\$35 ⁺	Annual maintenance fee for IRA and retirement accounts (<i>an additional \$10 fee is imposed for liquidation of securities to cover annual maintenance fee</i>) <i>Not applicable for Self-Directed Brokerage or Advisory Accounts</i>
	\$125	Termination fee for IRA and retirement accounts
	\$50 ^{**}	Outgoing account transfer fee (excludes NFS prototype retirement accounts)
	\$75 ⁺	Late payment/slow delivery of securities
	\$5 ^{**}	Transaction fee to cover postage and handling for all stock, listed option and bond transactions
	\$20 ^{**}	Outgoing wire fee
	\$30 ^{**}	Returned checks/not sufficient funds
	\$30 ^{**}	Stop payment or reissue of checks
	\$150 ⁺	Processing of restricted stock (per CUSIP)
	\$12 ⁺	Trade or margin extension
	\$150 ⁺	Physical reorganization
	\$10 ^{***}	Fee for mailing of trade delinquency notice
	\$35 ⁺	Alternative Investment fee (per position annually)
	Direct pass-through and subject to change. Current minimum pricing is as follows:	
	\$15	Transfer and ship (DRS eligible) (per CUSIP)
	\$500	Transfer and ship (DRS eligible with physical certificate mailed) (per CUSIP)
	\$500	Transfer and ship (non-DRS eligible) (per CUSIP)
	\$10 ⁺	Safekeeping of Securities (per certificate per month)
	\$5 ^{***}	Small balance fee and possible account closure: Any account, including retirement and non-retirement accounts, that maintains a low balance or a residual cash value of \$5.00 or less may be assessed an account closure fee of up to \$5.00. If the balance in the account is \$5.00 or less, the fee will be assessed up to the balance amount and the account may be closed.
	Interest will be charged for late payment of trades.	

+This fee is not applicable to Advisory Accounts. Clients should refer to Part 2A Appendix 1 of Huntington Financial Advisors' Form ADV for a full description of fees and expenses associated with Advisory Accounts.

**Huntington Financial Advisors retains all or a portion of this fee. This is a conflict of interest as we have an incentive to utilize a clearing firm that allows us to mark-up certain fees and charges.

SELF-DIRECTED ACCOUNT FEE AND COMMISSION SCHEDULE Specific accounts meeting conditional requirements qualify for the self-directed fee and commissions schedule. Consult your Financial Advisor for eligibility requirements.		
STOCKS and EXCHANGE TRADED FUNDS (ETFs)*	Unlimited online trading \$0 commission	Client Services assisted trading \$19.99 (<i>per transaction</i>)
MUTUAL FUNDS	No-Load	\$50.00 (<i>transaction fee for all no-load transactions</i>)
	Load	Applicable load as stated in the prospectus
ACCOUNT FEES	See "Account Fees" above (<i>Annual account maintenance fee for IRAs waived</i>)	